

Logics extended with embedding-closed quantifiers

Jevgeni Haigora

Advised by Kerkko Luosto and Lauri Hella

University of Tampere
School of Information Sciences
2014

- A natural way to extend the expressive power of a logic

- A natural way to extend the expressive power of a logic
- Mostowski was one of the first to suggest such an extension in 1957. The current definition is due to Lindström (1966)

- A natural way to extend the expressive power of a logic
- Mostowski was one of the first to suggest such an extension in 1957. The current definition is due to Lindström (1966)
- A quantifier Q corresponds to some property P_Q of τ -structures for a given vocabulary τ

- A natural way to extend the expressive power of a logic
- Mostowski was one of the first to suggest such an extension in 1957. The current definition is due to Lindström (1966)
- A quantifier Q corresponds to some property P_Q of τ -structures for a given vocabulary τ
- By adding a quantifier Q to a logic \mathcal{L} we get the smallest extension \mathcal{L} that can express property P_Q

Example (Cardinality quantifier Q_α)

$\mathfrak{A} \models Q_\alpha x \varphi(x)$ if and only if there are \aleph_α elements $a \in A$ such that $\mathfrak{A} \models \varphi(a)$

Example (Cardinality quantifier Q_α)

$\mathfrak{A} \models Q_\alpha x \varphi(x)$ if and only if there are \aleph_α elements $a \in A$ such that $\mathfrak{A} \models \varphi(a)$

Example (Well-ordering quantifier Q^w)

$\mathfrak{A} \models Q^w xy \varphi(x, y)$ if and only if $\varphi(x, y)$ defines a well-ordering of elements of \mathfrak{A}

Example (Cardinality quantifier Q_α)

$\mathfrak{A} \models Q_\alpha x \varphi(x)$ if and only if there are \aleph_α elements $a \in A$ such that $\mathfrak{A} \models \varphi(a)$

Example (Well-ordering quantifier Q^w)

$\mathfrak{A} \models Q^w xy \varphi(x, y)$ if and only if $\varphi(x, y)$ defines a well-ordering of elements of \mathfrak{A}

Example (Equicardinality quantifier I)

$\mathfrak{A} \models Ixy(\varphi(x), \psi(y))$ if and only if φ and ψ define sets of the same cardinality

Embedding-closed quantifiers

Definition

A quantifier Q is *embedding-closed* if $\mathfrak{A} \in Q$ and $\mathfrak{A} \leq \mathfrak{B}$ imply $\mathfrak{B} \in Q$

Embedding-closed quantifiers

Definition

A quantifier Q is *embedding-closed* if $\mathfrak{A} \in Q$ and $\mathfrak{A} \leq \mathfrak{B}$ imply $\mathfrak{B} \in Q$

Lemma

Let τ be a vocabulary, $(\varphi_\alpha)_{\alpha < \kappa}$ quantifier-free τ -formulas and Q an embedding-closed quantifier of width κ . The formula $Q(\bar{x}_\alpha \varphi_\alpha)_{\alpha < \kappa}$ is preserved by embeddings.

Embedding-closed quantifiers

Definition

A quantifier Q is *embedding-closed* if $\mathfrak{A} \in Q$ and $\mathfrak{A} \leq \mathfrak{B}$ imply $\mathfrak{B} \in Q$

Lemma

Let τ be a vocabulary, $(\varphi_\alpha)_{\alpha < \kappa}$ quantifier-free τ -formulas and Q an embedding-closed quantifier of width κ . The formula $Q(\bar{x}_\alpha \varphi_\alpha)_{\alpha < \kappa}$ is preserved by embeddings.

Proof.

- Suppose $(\mathfrak{A}, \bar{a}) \models Q(\bar{x}_\alpha \varphi_\alpha)_{\alpha < \kappa}$ and $f: \mathfrak{A} \rightarrow \mathfrak{B}$ is an embedding



Embedding-closed quantifiers

Definition

A quantifier Q is *embedding-closed* if $\mathfrak{A} \in Q$ and $\mathfrak{A} \leq \mathfrak{B}$ imply $\mathfrak{B} \in Q$

Lemma

Let τ be a vocabulary, $(\varphi_\alpha)_{\alpha < \kappa}$ quantifier-free τ -formulas and Q an embedding-closed quantifier of width κ . The formula $Q(\bar{x}_\alpha \varphi_\alpha)_{\alpha < \kappa}$ is preserved by embeddings.

Proof.

- Suppose $(\mathfrak{A}, \bar{a}) \models Q(\bar{x}_\alpha \varphi_\alpha)_{\alpha < \kappa}$ and $f: \mathfrak{A} \rightarrow \mathfrak{B}$ is an embedding
- Then $f: (A, (\vartheta_\alpha^{\mathfrak{A}, \bar{a}})_{\alpha < \kappa}) \rightarrow (B, (\vartheta_\alpha^{\mathfrak{B}, f\bar{a}})_{\alpha < \kappa})$ is an embedding too



Embedding-closed quantifiers

Definition

A quantifier Q is *embedding-closed* if $\mathfrak{A} \in Q$ and $\mathfrak{A} \leq \mathfrak{B}$ imply $\mathfrak{B} \in Q$

Lemma

Let τ be a vocabulary, $(\varphi_\alpha)_{\alpha < \kappa}$ quantifier-free τ -formulas and Q an embedding-closed quantifier of width κ . The formula $Q(\bar{x}_\alpha \varphi_\alpha)_{\alpha < \kappa}$ is preserved by embeddings.

Proof.

- Suppose $(\mathfrak{A}, \bar{a}) \models Q(\bar{x}_\alpha \varphi_\alpha)_{\alpha < \kappa}$ and $f: \mathfrak{A} \rightarrow \mathfrak{B}$ is an embedding
- Then $f: (A, (\vartheta_\alpha^{\mathfrak{A}, \bar{a}})_{\alpha < \kappa}) \rightarrow (B, (\vartheta_\alpha^{\mathfrak{B}, f\bar{a}})_{\alpha < \kappa})$ is an embedding too
- Thus $(\mathfrak{B}, f\bar{a}) \models Q(\bar{x}_\alpha \varphi_\alpha)_{\alpha < \kappa}$



Definition

A structure \mathfrak{A} is *quasi-homogeneous* if every isomorphism between finitely generated substructures of \mathfrak{A} can be extended to an embedding of \mathfrak{A} into itself.

Quasi-homogeneous structures

Definition

A structure \mathfrak{A} is *quasi-homogeneous* if every isomorphism between finitely generated substructures of \mathfrak{A} can be extended to an embedding of \mathfrak{A} into itself.

Example

The real line (\mathbf{R}, \leq) with some real number removed from it is quasi-homogeneous but not homogeneous.

Definition

A structure \mathfrak{A} is *quasi-homogeneous* if every isomorphism between finitely generated substructures of \mathfrak{A} can be extended to an embedding of \mathfrak{A} into itself.

Example

The real line (\mathbf{R}, \leq) with some real number removed from it is quasi-homogeneous but not homogeneous.

Lemma

A τ -structure \mathfrak{A} has quantifier elimination for $\mathcal{L}_{\infty\omega}(Q_{\text{emb}})$ if and only if it is quasi-homogeneous.

Theorem

Let τ be a finite relational vocabulary, \mathcal{Q} a finite set of embedding-closed quantifiers of finite width and $(\mathfrak{A}_i)_{i < \omega}$ a chain of quasi-homogeneous τ -structures. For each $m < \omega$, there is a natural number N_m such that for every formula $\varphi \in \mathcal{L}_{\infty\omega}^m(\mathcal{Q})[\tau]$ there is a quantifier-free τ -formula ϑ_φ such that

$$\mathfrak{A}_i \models \forall \bar{x} (\varphi \leftrightarrow \vartheta_\varphi)$$

for all $i \geq N_m$.

Preservation of formulas in chains of quasi-homogeneous structures

$$\begin{array}{c} \mathcal{A}_0 \leq \mathcal{A}_1 \leq \dots \leq \mathcal{A}_{N_m} \leq \dots \\ \underbrace{\hspace{15em}} \\ \mathcal{A}_i \models \forall \bar{x} (\varphi \leftrightarrow \vartheta_\varphi) \end{array}$$

Proof.

- Let $\varphi_0, \dots, \varphi_I$ be an enumeration of $\mathcal{L}_{\infty\omega}^m(Q)$ -formulas of the form $Q(\bar{x}_i\psi_i)_{i<\omega}$ with all ψ_i quantifier-free, and suppose φ is one of these formulas



Proof.

- Let $\varphi_0, \dots, \varphi_l$ be an enumeration of $\mathcal{L}_{\infty\omega}^m(Q)$ -formulas of the form $Q(\bar{x}_i\psi_i)_{i<\omega}$ with all ψ_i quantifier-free, and suppose φ is one of these formulas
- Note that l is finite



Proof.

- Let $\varphi_0, \dots, \varphi_l$ be an enumeration of $\mathcal{L}_{\infty\omega}^m(Q)$ -formulas of the form $Q(\bar{x}_i\psi_i)_{i<\omega}$ with all ψ_i quantifier-free, and suppose φ is one of these formulas
- Note that l is finite
- For each $i < \omega$, let $T_i = \{t : t \text{ is an atomic type and } (\mathfrak{A}_i, \bar{a}) \models \varphi \wedge t \text{ for some } \bar{a}\}$.



Proof.

- Let $\varphi_0, \dots, \varphi_l$ be an enumeration of $\mathcal{L}_{\infty\omega}^m(Q)$ -formulas of the form $Q(\bar{x}_i\psi_i)_{i<\omega}$ with all ψ_i quantifier-free, and suppose φ is one of these formulas
- Note that l is finite
- For each $i < \omega$, let $T_i = \{t : t \text{ is an atomic type and } (\mathfrak{A}_i, \bar{a}) \models \varphi \wedge t \text{ for some } \bar{a}\}$.
- Then $\mathfrak{A}_i \models \forall \bar{x}(\varphi \leftrightarrow \bigvee T_i)$ for all $i < \omega$



Proof.

- Let $\varphi_0, \dots, \varphi_l$ be an enumeration of $\mathcal{L}_{\infty\omega}^m(Q)$ -formulas of the form $Q(\bar{x}_i\psi_i)_{i<\omega}$ with all ψ_i quantifier-free, and suppose φ is one of these formulas
- Note that l is finite
- For each $i < \omega$, let $T_i = \{t : t \text{ is an atomic type and } (\mathfrak{A}_i, \bar{a}) \models \varphi \wedge t \text{ for some } \bar{a}\}$.
- Then $\mathfrak{A}_i \models \forall \bar{x}(\varphi \leftrightarrow \bigvee T_i)$ for all $i < \omega$
- Since both φ and $\bigvee T_i$ are preserved in embeddings, we have $T_i \subseteq T_j$ always when $i \leq j$ so T_i 's reach their maximum at some $k_\varphi < \omega$



Proof.

- Let $\varphi_0, \dots, \varphi_l$ be an enumeration of $\mathcal{L}_{\infty\omega}^m(Q)$ -formulas of the form $Q(\bar{x}_i\psi_i)_{i<\omega}$ with all ψ_i quantifier-free, and suppose φ is one of these formulas
- Note that l is finite
- For each $i < \omega$, let $T_i = \{t : t \text{ is an atomic type and } (\mathfrak{A}_i, \bar{a}) \models \varphi \wedge t \text{ for some } \bar{a}\}$.
- Then $\mathfrak{A}_i \models \forall \bar{x}(\varphi \leftrightarrow \bigvee T_i)$ for all $i < \omega$
- Since both φ and $\bigvee T_i$ are preserved in embeddings, we have $T_i \subseteq T_j$ always when $i \leq j$ so T_i 's reach their maximum at some $k_\varphi < \omega$
- Thus we can set $N_m = \max\{k_{\varphi_i} : i \leq l\}$



Preservation of formulas in chains of quasi-homogeneous structures

This proof can be generalized to formulas of the logic $\mathcal{L}_{\infty\omega}(\mathcal{Q}_{\text{emb}})$ as well.

Corollary

If \mathfrak{A} and \mathfrak{B} are quasi-homogeneous bi-embeddable structures then $\mathfrak{A} \equiv_{\text{emb}} \mathfrak{B}$.

Preservation of formulas in chains of quasi-homogeneous structures

This proof can be generalized to formulas of the logic $\mathcal{L}_{\infty\omega}(\mathcal{Q}_{\text{emb}})$ as well.

Corollary

If \mathfrak{A} and \mathfrak{B} are quasi-homogeneous bi-embeddable structures then $\mathfrak{A} \equiv_{\text{emb}} \mathfrak{B}$.

Example

The following properties are not definable in $\mathcal{L}_{\infty\omega}(\mathcal{Q}_{\text{emb}})$:

- Equicardinality of unary predicates
- Completeness of an order
- Cofinality of an order

Embedding game

- γ -embedding game is played on two structures, \mathfrak{A} and \mathfrak{B} , by two players, Spoiler and Duplicator

Embedding game

- γ -embedding game is played on two structures, \mathfrak{A} and \mathfrak{B} , by two players, Spoiler and Duplicator
- A position in the game is a tuple $(\mathfrak{A}, \bar{a}, \mathfrak{B}, \bar{b}, \beta)$

Embedding game

- γ -embedding game is played on two structures, \mathfrak{A} and \mathfrak{B} , by two players, Spoiler and Duplicator
- A position in the game is a tuple $(\mathfrak{A}, \bar{a}, \mathfrak{B}, \bar{b}, \beta)$
- A round starts with Duplicator selecting two embeddings $f: \mathfrak{A} \rightarrow \mathfrak{B}$ and $g: \mathfrak{B} \rightarrow \mathfrak{A}$ such that $f\bar{a} = \bar{b}$ and $g\bar{b} = \bar{a}$

Embedding game

- γ -embedding game is played on two structures, \mathfrak{A} and \mathfrak{B} , by two players, Spoiler and Duplicator
- A position in the game is a tuple $(\mathfrak{A}, \bar{a}, \mathfrak{B}, \bar{b}, \beta)$
- A round starts with Duplicator selecting two embeddings $f: \mathfrak{A} \rightarrow \mathfrak{B}$ and $g: \mathfrak{B} \rightarrow \mathfrak{A}$ such that $f\bar{a} = \bar{b}$ and $g\bar{b} = \bar{a}$
- Duplicator loses if there are no such embeddings

Embedding game

- γ -embedding game is played on two structures, \mathfrak{A} and \mathfrak{B} , by two players, Spoiler and Duplicator
- A position in the game is a tuple $(\mathfrak{A}, \bar{a}, \mathfrak{B}, \bar{b}, \beta)$
- A round starts with Duplicator selecting two embeddings $f: \mathfrak{A} \rightarrow \mathfrak{B}$ and $g: \mathfrak{B} \rightarrow \mathfrak{A}$ such that $f\bar{a} = \bar{b}$ and $g\bar{b} = \bar{a}$
- Duplicator loses if there are no such embeddings
- Otherwise, Spoiler selects a tuple $\bar{c} \in A^k$ or $\bar{d} \in B^k$ for some $k < \omega$, and an ordinal $\alpha < \beta$ and the game proceeds to the next round from the position $(\mathfrak{A}, \bar{a}\bar{c}, \mathfrak{B}, \bar{b}f\bar{c}, \alpha)$ or $(\mathfrak{A}, \bar{a}g\bar{d}, \mathfrak{B}, \bar{b}\bar{d}, \alpha)$

Embedding game

- γ -embedding game is played on two structures, \mathfrak{A} and \mathfrak{B} , by two players, Spoiler and Duplicator
- A position in the game is a tuple $(\mathfrak{A}, \bar{a}, \mathfrak{B}, \bar{b}, \beta)$
- A round starts with Duplicator selecting two embeddings $f: \mathfrak{A} \rightarrow \mathfrak{B}$ and $g: \mathfrak{B} \rightarrow \mathfrak{A}$ such that $f\bar{a} = \bar{b}$ and $g\bar{b} = \bar{a}$
- Duplicator loses if there are no such embeddings
- Otherwise, Spoiler selects a tuple $\bar{c} \in A^k$ or $\bar{d} \in B^k$ for some $k < \omega$, and an ordinal $\alpha < \beta$ and the game proceeds to the next round from the position $(\mathfrak{A}, \bar{a}\bar{c}, \mathfrak{B}, \bar{b}f\bar{c}, \alpha)$ or $(\mathfrak{A}, \bar{a}g\bar{d}, \mathfrak{B}, \bar{b}\bar{d}, \alpha)$
- Duplicator wins if the game reaches position with $\beta = 0$.

Theorem

Let τ be vocabulary and $\mathfrak{A}, \mathfrak{B}$ τ -structures. For all ordinals $\gamma < \omega_1$ we have $\mathfrak{A} \simeq_{\text{emb}}^{\gamma} \mathfrak{B}$ if and only if $\mathfrak{A} \equiv_{\text{emb}}^{\gamma} \mathfrak{B}$.

Theorem

Let τ be vocabulary and $\mathfrak{A}, \mathfrak{B}$ τ -structures. For all ordinals $\gamma < \omega_1$ we have $\mathfrak{A} \simeq_{\text{emb}}^{\gamma} \mathfrak{B}$ if and only if $\mathfrak{A} \equiv_{\text{emb}}^{\gamma} \mathfrak{B}$.

Corollary

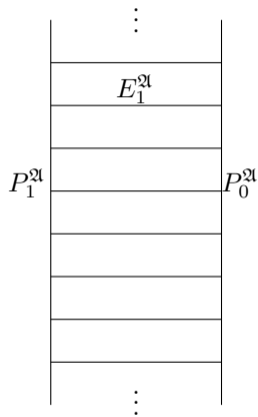
Let τ be vocabulary and $\mathfrak{A}, \mathfrak{B}$ τ -structures. Then we have $\mathfrak{A} \simeq_{\text{emb}} \mathfrak{B}$ if and only if $\mathfrak{A} \equiv_{\text{emb}} \mathfrak{B}$.

We use embedding game to prove the following:

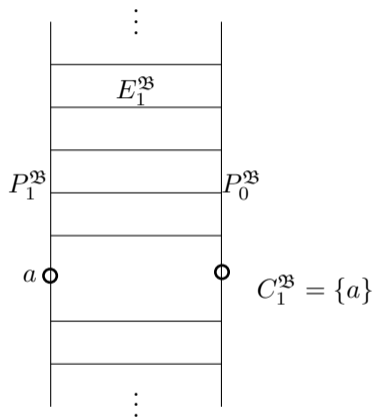
Theorem

For each $n < \omega$, there is a first-order sentence φ_n of quantifier rank n that is not expressible by any $\mathcal{L}_{\infty\omega}(Q_{\text{emb}})$ -sentence of quantifier rank less than n .

Embedding game

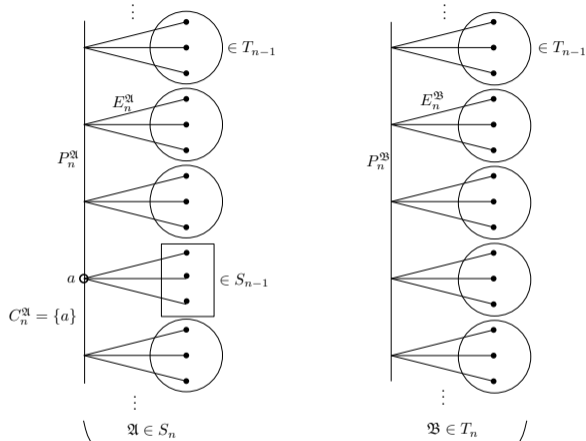


$\mathfrak{A} \in S_1$



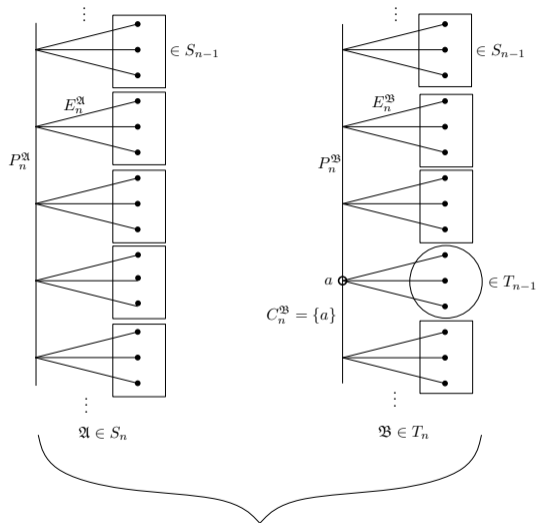
$\mathfrak{B} \in T_1$

Embedding game



n is even

Embedding game



n is odd

Thank you!