Simple homogeneous structures

Vera Koponen

Department of Mathematics Uppsala University

Scandinavian Logic Symposium 2014 25-27 August in Tampere

The study of **simple theories/structures** has developed, via stability theory, from Shelah's *classification theory* of complete first-order theories and their models.

The study of **simple theories/structures** has developed, via stability theory, from Shelah's *classification theory* of complete first-order theories and their models. The central tool in this context is a sufficiently well behaved notion of *independence*.

The study of **simple theories/structures** has developed, via stability theory, from Shelah's *classification theory* of complete first-order theories and their models. The central tool in this context is a sufficiently well behaved notion of *independence*.

I will present some results in the intersection of these areas, i.e. we consider structures that are **both simple and homogeneous**. References (containing more references) follow at the end.

Suppose that V is a **finite and relational** vocabulary/signature.

글 🖌 🖌 글 🕨

Suppose that V is a **finite and relational** vocabulary/signature.

A **countable** *V*-structure \mathcal{M} , which may be finite or infinite, is **homogeneous** if the following **equivalent** conditions are satisfied:

- $\textcircled{0} \mathcal{M} \text{ has elimination of quantifiers.}$
- **②** Every isomorphism between finite substructures of \mathcal{M} can be extended to an automorphism of \mathcal{M} .
- \bigcirc \mathcal{M} is the Fraïssé limit of an *amalgamation class*.

Suppose that V is a **finite and relational** vocabulary/signature.

A countable *V*-structure \mathcal{M} , which may be finite or infinite, is **homogeneous** if the following **equivalent** conditions are satisfied:

- $\textcircled{0} \mathcal{M} \text{ has elimination of quantifiers.}$
- **②** Every isomorphism between finite substructures of \mathcal{M} can be extended to an automorphism of \mathcal{M} .
- \bigcirc \mathcal{M} is the Fraïssé limit of an *amalgamation class*.

Examples: The **random graph**, or **Rado graph**; $(\mathbb{Q}, <)$; generic triangle-free graph; more generally, 2^{\aleph_0} examples constructed by forbidding substructures (Henson 1972).

Suppose that V is a **finite and relational** vocabulary/signature.

A **countable** *V*-structure \mathcal{M} , which may be finite or infinite, is **homogeneous** if the following **equivalent** conditions are satisfied:

- $\textcircled{0} \mathcal{M} \text{ has elimination of quantifiers.}$
- **②** Every isomorphism between finite substructures of \mathcal{M} can be extended to an automorphism of \mathcal{M} .
- \bigcirc \mathcal{M} is the Fraïssé limit of an *amalgamation class*.

Examples: The **random graph**, or **Rado graph**; $(\mathbb{Q}, <)$; generic triangle-free graph; more generally, 2^{\aleph_0} examples constructed by forbidding substructures (Henson 1972).

Via the Engeler, Ryll-Nardzewski, Svenonious characterization of $\omega\text{-}categorical$ theories:

every infinite homogeneous structure has ω -categorical complete theory.

Classifications of some homogeneous structures

Being homogeneous is a strong condition when restricted to certain classes of structures.

Classifications of some homogeneous structures

Being homogeneous is a strong condition when restricted to certain classes of structures.

The following classes of structures, to mention a few, have been *classified*, where 'homogeneous' implies 'countable', and 'countable' includes 'finite':

- Intersection of the section of th
- Intersection of the section of th
- log homogeneous tournaments (Lachlan 1984).
- Intersection of the section of th
- homogeneous stable V-structures for any finite relational vocabulary V (Lachlan, Cherlin... 80ies).
- homogeneous multipartite graphs (Jenkinson, Truss, Seidel 2012).

伺 ト く ヨ ト く ヨ ト

Classifications of some homogeneous structures

Being homogeneous is a strong condition when restricted to certain classes of structures.

The following classes of structures, to mention a few, have been *classified*, where 'homogeneous' implies 'countable', and 'countable' includes 'finite':

- Intersection of the section of th
- Intersection of the section of th
- log homogeneous tournaments (Lachlan 1984).
- Intersection of the section of th
- homogeneous stable V-structures for any finite relational vocabulary V (Lachlan, Cherlin... 80ies).
- homogeneous multipartite graphs (Jenkinson, Truss, Seidel 2012).

Note: The case 4 contains *uncountably* many structures, by a well-known result of Henson (1972).

A complete theory (with only infinite models) T is simple if there is a notion of (in)dependence – with certain properties, like symmetry – on all of its models.

A complete theory (with only infinite models) T is simple if there is a notion of (in)dependence – with certain properties, like symmetry – on all of its models.

Suppose that T is simple. Then "**SU-rank**" can be defined on types of T (with or without parameters).

A complete theory (with only infinite models) T is simple if there is a notion of (in)dependence – with certain properties, like symmetry – on all of its models.

Suppose that T is simple. Then "**SU-rank**" can be defined on types of T (with or without parameters). Then

- *T* is **supersimple** \iff the **SU-rank is ordinal valued** for every type of *T*, and
- T is **1-based** \iff the notion of **(in)dependence** behaves **"nicely"** on all models of T.
- T has trivial dependence if whenever M ⊨ T,
 A, B, C ⊆ M^{eq} (M extended by imaginaries) and A is dependent on B over C, then there is b ∈ B such that A is dependent on {b} over C.

高 と く ヨ と く ヨ と

A complete theory (with only infinite models) T is simple if there is a notion of (in)dependence – with certain properties, like symmetry – on all of its models.

Suppose that T is simple. Then "**SU-rank**" can be defined on types of T (with or without parameters). Then

- T is supersimple \iff the SU-rank is ordinal valued for every type of T, and
- T is **1-based** \iff the notion of **(in)dependence** behaves **"nicely"** on all models of T.
- T has trivial dependence if whenever M ⊨ T,
 A, B, C ⊆ M^{eq} (M extended by imaginaries) and A is dependent on B over C, then there is b ∈ B such that A is dependent on {b} over C.

An infinite structure is ω -categorical, simple, supersimple, 1-based or has trivial dependence if its complete theory has the corresponding property.

Simple theories/structures (continued)

The SU-rank of a structure is the supremum (if it exists) of the SU-ranks of all 1-types of its complete theory.

Example: the **random graph** is supersimple, has SU-rank 1, is 1-based and has trivial dependence.

Simple theories/structures (continued)

The SU-rank of a structure is the supremum (if it exists) of the SU-ranks of all 1-types of its complete theory.

Example: the **random graph** is supersimple, has SU-rank 1, is 1-based and has trivial dependence.

All known examples of simple homogeneous structures are *super*simple with *finite SU-rank*, are *1-based* and have *trivial dependence*.

Simple theories/structures (continued)

The SU-rank of a structure is the supremum (if it exists) of the SU-ranks of all 1-types of its complete theory.

Example: the **random graph** is supersimple, has SU-rank 1, is 1-based and has trivial dependence.

All known examples of simple homogeneous structures are *super*simple with *finite SU-rank*, are *1-based* and have *trivial dependence*.

Two facts:

- If T is ω-categorical and supersimple with finite SU-rank, then T is 1-based if and only if every definable (with parameters)
 A ⊆ M^{eq} with SU-rank 1 is 1-based for any choice of M ⊨ T.

Finiteness of rank

Binary vocabulary: a finite relational vocabulary in which all symbols have arity ≤ 2 .

Binary structure: a *V*-structure for some binary vocabulary *V*.

4 E 6 4

Binary vocabulary: a finite relational vocabulary in which all symbols have arity ≤ 2 .

Binary structure: a *V*-structure for some binary vocabulary *V*.

A. Aranda Lopez [1] has proved that if \mathcal{M} is binary, simple and homogeneous, then its SU-rank *cannot* be ω^{α} for any $\alpha \geq 1$.

Binary vocabulary: a finite relational vocabulary in which all symbols have arity ≤ 2 .

Binary structure: a *V*-structure for some binary vocabulary *V*.

A. Aranda Lopez [1] has proved that if \mathcal{M} is binary, simple and homogeneous, then its SU-rank *cannot* be ω^{α} for any $\alpha \geq 1$. In fact we have:

Theorem 1 [2] Suppose that \mathcal{M} is a structure which is binary, simple and homogeneous. Then \mathcal{M} is **super**simple with **finite** SU-rank (which is bounded by the number of 2-types over \emptyset).

Binary vocabulary: a finite relational vocabulary in which all symbols have arity ≤ 2 .

Binary structure: a *V*-structure for some binary vocabulary *V*.

A. Aranda Lopez [1] has proved that if \mathcal{M} is binary, simple and homogeneous, then its SU-rank *cannot* be ω^{α} for any $\alpha \geq 1$. In fact we have:

Theorem 1 [2] Suppose that \mathcal{M} is a structure which is binary, simple and homogeneous. Then \mathcal{M} is **super**simple with **finite** SU-rank (which is bounded by the number of 2-types over \emptyset).

This implies that knowledge about properties such as 1-basedness and trivial dependence for binary simple homogeneous structures can be derived from the corresponding properties of definable sets of SU-rank 1.

A =
 A =
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A

Forbidden configuration (of \mathcal{M}): a *V*-structure which cannot be embedded into \mathcal{M} .

A =
 A =
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A

Forbidden configuration (of \mathcal{M}): a *V*-structure which cannot be embedded into \mathcal{M} .

Minimal forbidden configuration (of \mathcal{M}): a forbidden configuration \mathcal{A} such that *no* proper substructure of \mathcal{A} is a forbidden configuration.

4 B K 4 B K

Forbidden configuration (of \mathcal{M}): a *V*-structure which cannot be embedded into \mathcal{M} .

Minimal forbidden configuration (of \mathcal{M}): a forbidden configuration \mathcal{A} such that *no* proper substructure of \mathcal{A} is a forbidden configuration.

 \mathcal{M} is a **binary random structure** if it does **not** have a minimal forbidden configuration of cardinality ≥ 3 .

Example: random graph.

4 B K 4 B K

Roughly speaking: \mathcal{M}^{eq} is the extension of \mathcal{M} with **imaginary elements**, i.e. elements that correspond to equivalence classes of \emptyset -definable equivalence relations on \mathcal{M}^n (for $0 < n < \omega$).

Roughly speaking: \mathcal{M}^{eq} is the extension of \mathcal{M} with **imaginary elements**, i.e. elements that correspond to equivalence classes of \emptyset -definable equivalence relations on \mathcal{M}^n (for $0 < n < \omega$).

Suppose that $A \subseteq M$ and that $C \subseteq M^{eq}$ is A-definable (i.e. definable with parameters from A).

Roughly speaking: \mathcal{M}^{eq} is the extension of \mathcal{M} with **imaginary elements**, i.e. elements that correspond to equivalence classes of \emptyset -definable equivalence relations on \mathcal{M}^n (for $0 < n < \omega$).

Suppose that $A \subseteq M$ and that $C \subseteq M^{eq}$ is A-definable (i.e. definable with parameters from A).

The canonically embedded structure of \mathcal{M}^{eq} over A with universe C is the structure C which for every $0 < n < \omega$ and A-definable relation $R \subseteq C^n$ has a relation symbol which is interpreted as R (and C has no other symbols).

Note that for all $0 < n < \omega$ and all $R \subseteq C^n$, *R* is \emptyset -definable in $\mathcal{C} \iff R$ is *A*-definable in \mathcal{M}^{eq} .

Simple homogeneous structures and canonically embedded structures with rank 1

Let $\mathcal M$ and $\mathcal N$ be two structures which need not necessarily have the same vocabulary.

 \mathcal{N} is a **reduct** of \mathcal{M} if M = N and for all $0 < n < \omega$ and all $R \subseteq M^n$: R is \emptyset -definable in $\mathcal{N} \Longrightarrow R$ is \emptyset -definable in \mathcal{M} .

Simple homogeneous structures and canonically embedded structures with rank 1

Let $\mathcal M$ and $\mathcal N$ be two structures which need not necessarily have the same vocabulary.

 \mathcal{N} is a **reduct** of \mathcal{M} if M = N and for all $0 < n < \omega$ and all $R \subseteq M^n$: R is \emptyset -definable in $\mathcal{N} \Longrightarrow R$ is \emptyset -definable in \mathcal{M} .

Theorem 2 [3] Suppose that \mathcal{M} is a binary, homogeneous, simple structure with trivial dependence. Let $A \subseteq M$ be finite and suppose that $C \subseteq M^{eq}$ is A-definable and only finitely many 1-types over \emptyset are realized in C. Assume that $\mathrm{SU}(c/A) = 1$ for every $c \in C$, where $\mathrm{SU}(a/A)$ is the SU-rank of the type tp(c/A). Let C be the canonically embedded structure of \mathcal{M}^{eq} over A with universe C. Then C is a reduct of a binary random structure.

Simple homogeneous structures and canonically embedded structures with rank 1

Let $\mathcal M$ and $\mathcal N$ be two structures which need not necessarily have the same vocabulary.

 \mathcal{N} is a **reduct** of \mathcal{M} if M = N and for all $0 < n < \omega$ and all $R \subseteq M^n$: R is \emptyset -definable in $\mathcal{N} \Longrightarrow R$ is \emptyset -definable in \mathcal{M} .

Theorem 2 [3] Suppose that \mathcal{M} is a binary, homogeneous, simple structure with trivial dependence. Let $A \subseteq \mathcal{M}$ be finite and suppose that $C \subseteq \mathcal{M}^{eq}$ is A-definable and only finitely many 1-types over \emptyset are realized in C. Assume that $\mathrm{SU}(c/A) = 1$ for every $c \in C$, where $\mathrm{SU}(a/A)$ is the SU-rank of the type tp(c/A). Let C be the canonically embedded structure of \mathcal{M}^{eq} over A with universe C. Then C is a reduct of a binary random structure.

Note: If \mathcal{M} is homogeneous, simple and 1-based, then dependence is trivial. All known homogeneous simple structures are 1-based.

Because they have trivial dependence and can be "coordinatized" they cannot be extremely complicated.

Because they have trivial dependence and can be "coordinatized" they cannot be extremely complicated.

It is reasonable to start the inquiry by considering **binary**, **primitive**, simple homogeneous structures.

Because they have trivial dependence and can be "coordinatized" they cannot be extremely complicated.

It is reasonable to start the inquiry by considering **binary**, **primitive**, simple homogeneous structures.

 $\mathcal M$ is **primitive** if there is no nontrivial equivalence relation on M which is $\emptyset\text{-definable}.$

Because they have trivial dependence and can be "coordinatized" they cannot be extremely complicated.

It is reasonable to start the inquiry by considering **binary**, **primitive**, simple homogeneous structures.

 \mathcal{M} is **primitive** if there is no nontrivial equivalence relation on M which is \emptyset -definable.

Fact, which is straightforward to prove: Suppose that \mathcal{M} is homogeneous (and simple) and has a nontrivial equivalence relation $E \subseteq M^2$. Let N be one of the E-classes. Then the substructure of \mathcal{M} with universe N is homogeneous (and simple).

Homogeneous, simple and 1-based structures (cont.)

A. Aranda Lopez [1] has shown:

If \mathcal{M} is a binary, primitive, homogeneous, simple and 1-based structure with SU-rank 1, then \mathcal{M} is a binary random structure.

Homogeneous, simple and 1-based structures (cont.)

A. Aranda Lopez [1] has shown:

If \mathcal{M} is a binary, primitive, homogeneous, simple and 1-based structure with SU-rank 1, then \mathcal{M} is a binary random structure.

If we remove the assumption about the SU-rank being 1 we get:

Theorem 3. [4] Suppose that \mathcal{M} is a binary, primitive, homogeneous, simple and 1-based structure. Then \mathcal{M} is strongly interpretable in a binary random structure. A. Aranda Lopez [1] has shown:

If \mathcal{M} is a binary, primitive, homogeneous, simple and 1-based structure with SU-rank 1, then \mathcal{M} is a binary random structure.

If we remove the assumption about the SU-rank being 1 we get:

Theorem 3. [4] Suppose that \mathcal{M} is a binary, primitive, homogeneous, simple and 1-based structure. Then \mathcal{M} is strongly interpretable in a binary random structure.

That \mathcal{M} is strongly interpretable in \mathcal{N} rougly means that there are integers k_1, \ldots, k_m such that every element $a \in M$ can be identified with a k_i -tuple $\overline{b}_a \in N^i$ for some i in such a way that each \emptyset -definable relation in \mathcal{M} can be identified with an \emptyset -definable relation on tuples form N corresponding to elements in \mathcal{M} .

The well-known notion of **interpretability** is a generalization of strong interpretability.

[1] Andrés Aranda López, *Omega-categorical Simple Theories*, Ph.D. thesis, University of Leeds, 2014.

The following (submitted) articles can be found via the link http://www2.math.uu.se/~vera/research/index.html and on *arXiv*.

[2] V. Koponen, *Binary simple homogeneous structures are supersimple with finite rank.*

[3] Ove Ahlman, V. Koponen, *On sets with rank one in simple homogeneous structures*.

[4] V. Koponen, *Homogeneous 1-based structures and interpretability in random structures.*

More references are found in the sources above.